WO 2005/090416

POLYMER COMPRISING AMIDE AND ESTER GROUPS, METHOD FOR PRODUCTION AND USE THEREOF

#### Technical field

The present invention relates to the group of the amides and esters of polymers of  $\alpha,\beta\text{-unsaturated}$  carboxylic acids.

#### State of the art

Polymers of  $\alpha,\beta$ -unsaturated carboxylic acids having polyalkylene glycol side chains have already been used for some time in concrete technology as plasticizers owing to their high degree of water reduction. These polymers have a comb polymer structure. There is a series of such comb polymers which, in addition to ester and carboxylic acid groups, also have amide groups.

For the preparation of these polymers, essentially two processes are used. Either polymers are prepared from the particular carboxylic acid-, ester- and amide-functional monomers by radical polymerization or in a polymer-analogous reaction from a polycarboxyl polymer and the particular alcohols and amines.

25

30

35

The route via radical polymerization is the most common method, but it is complicated for specific compounds by the commercial availability of the corresponding monomers and their toxicity, and requires complicated process control.

The polymer- analogous reaction has the great advantage that it is possible to obtain very different comb polymers with very different properties in a simple and reliable manner by varying the amount, the type and the ratio of alcohol and amine from commercially available polymers of  $\alpha,\beta$ -unsaturated carboxylic acids, especially from poly(meth)acrylic acids. In the

polymer-analogous reaction, as a result of the use of the commercially available poly(meth)acrylic acids, the step of the radical polymerization which is critical from a safety point of view, can be omitted.

5

25

30

35

Such polymer-analogous reactions are described, for example, in EP 0 889 860, EP 0 739 320 and DE 100 15 135.

The polymer-analogous reaction is effected according to 10 the current state of the art in an acid-catalyzed carboxyl-containing polymers of reaction aminehydroxyl-terminated monofunctional or derivatives at temperatures of from at least 140°C to 200°C. These reaction conditions give rise to various 15 restrictions which make impossible a reaction of lowboiling primary or secondary amine or crosslinking in the case of compounds which, addition to the primary or secondary amine group, also have hydroxyl functions. 20

Firstly, it is known to those skilled in the art that, in a polymer-analogous reaction of polymers containing carboxylic groups, the addition of compounds which have more than one primary or secondary amine group or compounds which, in addition to the primary or secondary amine group, also have hydroxyl functions inevitably leads to crosslinking of the carboxylcontaining polymers. However, such crosslinking is undesired since it leads at least to a reduction in the plasticizing action. In the extreme the case, crosslinking can also lead to the reaction melt crosslinking so greatly that it can no longer be removed from a reactor. The crosslinking cannot be suppressed even by the use of solvents.

Secondly, many primary or secondary amines have a very low boiling point and are classified as an explosion

risk in risk classification, since they can lead to explosions with air in certain mixing ratios and at certain ignition temperatures. All reactions known to date in a polymer-analogous reaction are effected either at high temperatures of at least 140°C and in some cases also using reduced pressure, or introducing or passing an air or nitrogen stream through or over the reaction mixture. These drastic conditions are required to remove the water formed in a condensation reaction and hence to enable a full reaction. However, 10 the reaction of low-boiling primary or secondary amines in a polymer-analogous reaction is made impossible, or expensive, complicated and by made more conditions since the high temperatures required are usually above the ignition temperatures of the amines. 15 Moreover, the use of reduced pressure leads to the boiling points of already low-boiling primary or secondary amines being lowered and to them being withdrawn undesirably from the reaction by the reduced pressure. The use of a gas stream for the removal of 20 the water of the reaction likewise leads to undesired discharge of the amine from the reaction vessel. The result observed is an incomplete reaction, increased contamination of the distillate water and increased pollution of offgas filter and waste air. 25

#### Description of the invention

30

It is therefore an object of the present invention to provide a process in which the disadvantages of the prior art are overcome and low-boiling primary or secondary amines or compounds which, in addition to the primary or secondary amine group, also have hydroxyl groups can be used.

It has been found that, surprisingly, this can be achieved by a process according to claim 1. This process allows polymers having amide and ester groups, as are obtainable only incompletely or with reduced

quality, if at all, with typical polymer-analogous processes, to be prepared in a reliable manner. This process allows a reaction of the low-boiling primary or secondary amines or of compounds which, in addition to the primary or secondary amine group, also have 5 hydroxyl groups, and is extremely advantageous from ecological aspects with regard to offgases and distillation water, and also from process technology aspects. The comb polymers prepared by the present highly suitable as plasticizers process are 10 hydraulically setting compositions. Moreover, it has been found that, surprisingly, thanks to the process according to the invention, the possibility exists of achieving a high side chain density, and also that the comb polymers thus prepared in use in hydraulically 15 setting compositions lead to reduced retardation of the hardening operation and to longer processing time. When the reduction in the ion density in the customary polymer-analogous process is attempted to control the properties of the polymer, for example by increasing 20 the content of ester groups, there is steric hindrance esterification certain degree of from complicates the further reaction or even makes it impossible. The resulting increased thermal stress additionally increases the risk of polyether cleavage, 25 which leads to undesired crosslinking of the polymers.

The invention encompasses the polymers prepared by this hydraulically setting process, their use in these hydraulically setting compositions and compositions before and after hardening by means of advantageous embodiments of the Further water. invention are evident from the subclaims.

#### 35 Ways of performing the invention

30

The present invention relates firstly to a process for preparing a polymer **P** having amide and ester groups, in which in a first step, a homo- or copolymer **P1** of

(meth) acrylic acid is reacted with a monohydroxylic compound **E** at a temperature of up to 200°C so as to form anhydride groups in addition to ester groups, and, in a second step, the anhydride groups formed in the first step are reacted with a monoamine compound **A** at temperatures significantly below 100°C.

"Monohydroxylic compound" is understood here and hereinafter to mean a substance which has only <u>one</u> free hydroxyl group.

"Monoamine compound" is understood here and hereinafter to mean ammonia as a gas or as an aqueous solution or a substance which has only one free primary or secondary amino group.

"(Meth)acrylic acid" is understood in the entire present document to mean both acrylic acid and methacrylic acid.

20

25

30

35

15

5

The homo- or copolymer P1 of (meth)acrylic acid may be present here as a free acid, as a full or partial salt, the term "salt" here and hereinafter encompassing not only the classical salts as obtained by neutralization with a base but also complexes between metal ions and the carboxylate or carboxyl groups as ligands.

The homo- or copolymer **P1** of (meth)acrylic acid is advantageously a homo- or copolymer of methacrylic acid and/or acrylic acid and/or methacrylic salt and/or acrylic salt. The homo- or copolymer **P1** is preferably obtained from a homopolymerization of (meth)acrylic acid or from a copolymerization of (meth)acrylic acid with at least one further monomer which is selected from the group comprising  $\alpha,\beta$ -unsaturated carboxylic acids,  $\alpha,\beta$ -unsaturated carboxylic esters,  $\alpha,\beta$ -unsaturated carboxylates, styrene, ethylene, propylene, vinyl acetate and mixtures thereof. The further monomer

is preferably selected from the group comprising methacrylic acid, acrylic acid, crotonic acid, itaconic acid, maleic acid, fumaric acid, and the salts, esters and mixtures thereof.

5

A preferred copolymer P1 is a copolymer of acrylic acid and methacrylic acid, and also their salts or partial salts. The salts or partial salts are obtained here typically by radical polymerization.

10

A preferred homopolymer **P1** is polymethacrylic acid or polyacrylic acid, especially polymethacrylic acid, its salts or partial salts. The salts or partial salts are obtained here typically by radical polymerization.

15

20

25

30

P1 is preferably a homopolymer.

The homo- or copolymer P1 of (meth)acrylic acid is obtained by a radical polymerization by customary processes. It can be effected in solvent, preferably in water or in bulk. This radical polymerization is effected preferably in the presence of at least one molecular weight regulator, especially of an inorganic or organic sulfur compound, for example mercaptans, or of a phosphorus compound. The polymerization is effected advantageously under conditions such that the homo- or copolymers P1 formed is formed from 10 to 250, preferably 20 to 100, more preferably 25 to 80, monomer units. Such homo- or copolymers P1 of (meth)acrylic acid are commercially available.

The monohydroxylicic compound  ${\bf E}$  is preferably a C6- to C20-alkyl alcohol or has the formula (I)

35 
$$HO-[(EO)_x-(PO)_y-(BuO)_z]-R^1$$
 (I).

In this formula, the indices x, y, z are each independently from each other the values of 0-250 and

their sum x + y + z is from 3 to 250. In addition, in the formula (I), EO = ethyleneoxy, PO = propyleneoxy, BuO = butyleneoxy or isobutyleneoxy. The sequence of the EO, PO, BuO units may be present in any possible sequence. Finally, the substituent  $R^1$  means an alkyl group having 1-20 carbon atoms or an alkylaryl group having 7-20 carbon atoms.

Preference is given to monohydroxylic compounds  ${\bf E}$  of the formula (I), especially having a methyl, ethyl, i-propyl or n-butyl group as the substituent  ${\bf R}^1$  and with  ${\bf z}=0$ .  ${\bf E}$  preferably comprises copolymers of EO/PO, more preferably polyethylene glycol capped at one end.

Mixtures of a plurality of different compounds of group E are likewise possible. For example, it is possible to mix polyethylene glycols capped at one end and having different molecular weights, or it is possible, for example, to use mixtures of polyethylene glycols capped at one end with copolymers of ethylene oxide and propylene oxide capped at one end or polypropylene glycols capped at one end. Equally possible, for example, are also mixtures of C6- to C20-alkyl alcohols and polyethylene glycols capped at one end.

25

30

35

5

In a preferred embodiment, the monohydroxylic compound  $\mathbf{E}$  is a polyalkylene glycol which is capped at one end and has a molecular weight  $M_w$  of from 300 to 10 000 g/mol, especially from 500 to 5000 g/mol, preferably from 800 to 3000 g/mol.

In a first step, the homo- or copolymer P1 is reacted with the monohydroxylic compound E at a temperature of up to 200°C. The temperature for this reaction is preferably between 140°C and 200°C. However, the reaction is also possible at temperatures between 150°C and 175°C. Such a high temperature is needed to obtain efficient esterification.

10

15

20

35

In a preferred embodiment, this first step is carried out in the presence of an esterification catalyst, especially of an acid. Such an acid is preferably sulfuric acid, p-toluenesulfonic acid, benzenesulfonic acid, methanesulfonic acid, phosphoric acid or phosphorous acid. Preference is given to sulfuric acid. The water can be removed from the reaction mixture under atmospheric pressure or else under reduced pressure. It is also possible for a gas stream to be conducted over or through the reaction mixture. The gas stream used may be air or oxygen.

In one embodiment, in the first step, a monoamine compound A' is used in addition to the monohydroxylic compound E. As a result, not only are ester and anhydride groups formed, but also amide groups as early as in the first step. The monoamine compound A' has a boiling point and flashpoint which are higher than the reaction temperature of the first step. Moreover, the monoamine compound A' must not contain any hydroxyl groups.

Typical examples of such monoamine compounds A' can be illustrated by the formula (II')

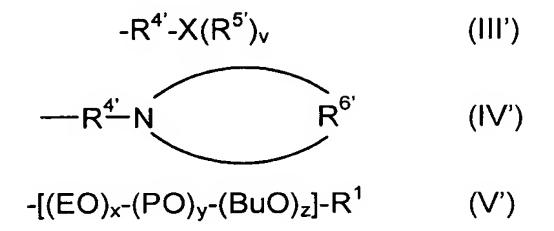
 $R^{2}$ 'NH- $R^{3}$ ' (II').

Firstly,  $R^{2'}$  and  $R^{3'}$  together may form a ring which optionally contains oxygen, sulfur or further nitrogen atoms.

Examples of such monoamine compounds A' are 9H-carbazole, indoline or imidazole.

Secondly,  $R^{2'}$  and  $R^{3'}$  may each independently from each other be an alkyl group having from 8 to 20 carbon atoms, a cycloalkyl group having from 5 to 9 carbon

atoms, an aralkyl group having from 7 to 12 carbon atoms, a compound of the formula (III'), (IV') or (V') or H.



5

10

25

30

 $R^4$  here is a C1- to C4-alkylene group.  $R^5$  is a  $C_1$ - to  $C_4$ -alkyl group. X=S, O or N, and v=1 when X=S or O, or v=2 when X=N.  $R^6$  is an alkylene group optionally having heteroatoms and forms with the nitrogen atom a 5- to 8-membered ring, in particular a 6-membered ring. The substituent  $R^1$  and the indices x, y and z are each as already defined for the compound of the formula (I).

of such monoamine compounds Examples A' are 15 dioctylamine, distearylamine, di(tallow fat)amine, fatty amines such as stearylamine, coconut fat amine, amine, oleylamine; octadecylamine, tallow fat but oxypropylamine, bis (2-methoxyethyl) amine;  $\alpha$ -methoxy- $\alpha$ -methoxy- $\omega$ -amino-polyoxy- $\omega$ -amino-polyoxyethylene, 20  $\alpha$ -methoxy- $\omega$ -amino-oxyethylene-oxypropylene propylene, copolymer.

The monoamine compound  $\mathbf{A'}$  is preferably a primary monoamine. Particularly preferred monoamine compounds  $\mathbf{A'}$  are compounds of the formula (II') where  $\mathbf{R^{2'}}$  is of the formula (V') and  $\mathbf{R^{3'}}$  is H; especially preferred are  $\alpha$ -methoxy- $\omega$ -amino-oxyethylene-oxypropylene copolymers or  $\alpha$ -methoxy- $\omega$ -amino-polyoxyethylenes. Most preferred are  $\alpha$ -methoxy- $\omega$ -amino-polyoxyethylenes. Such monoamine compounds  $\mathbf{A'}$  are, for example, obtainable from an alcohol-started polymerization of ethylene oxide and/or

propylene oxide, followed by conversion of the terminal alcohol group to an amine group.

The homo- or copolymer P1 is reacted with the monohydroxylic compound E typically such that the monohydroxylic compound E is added to the homo- or copolymer P1 with stirring and the mixture is heated to the reaction temperature. The mixture is stirred further at the above-described reaction temperature and reacted, possibly under reduced pressure or by passing a gas stream over or through the reaction mixture. If monoamine compound A' is used, it can be added simultaneously with the monohydroxylic compound E or else at a later time during the first reaction step.

15

20

10

5

After the reaction, which can be monitored by means of measuring the acid number, the reaction product is either processed further or stored. The storage can be effected either in heated vessels or at room temperature. In the latter case, the reaction product can be heated again before further use, for example until it melts.

In this first step, in addition to the esters between the homo- or copolymers P1 and the monohydroxylic compound E - and if appropriate in addition to the amides between the homo- or copolymer P1 and the monoamine compound A' - anhydride groups are also formed. The existence of these anhydride groups can be proved in a very simple manner by means of infrared spectroscopy, since the anhydride group is known to have a very intensive double band in the region of ~1800 cm<sup>-1</sup> and ~1760 cm<sup>-1</sup>.

35 Preference is given to not using any amines A' in the first step.

10

In a second step, the product which is formed in the first step and has anhydride groups in addition to ester groups and optionally amide groups is reacted compound monoamine A at with temperatures significantly below 100°C. This reaction is effected preferably below 60°C, especially below 40°C. The reaction is preferably effected between 10°C and 60°C, more preferably between 15 and 40°C. This reaction can be realized under gentle conditions and requires no reduced pressure, so that it is also possible to use monoamine compounds A with a low boiling point or else monoamine compounds A which, in addition to the amino group, also contain hydroxyl groups.

The monoamine compound A preferably has the formula (II)

# $R^2NH-R^3$ (II).

Firstly,  $R^2$  and  $R^3$  together may form a ring which optionally contains oxygen, sulfur or further nitrogen atoms.

Examples of such monoamine compounds **A** are in particular piperidine, morpholine, pyrrolidine, 1,3-thiazolidine, 2,3-dihydro-1,3-thiazole, imidazole. Morpholine is particularly suitable.

Secondly, R<sup>2</sup> and R<sup>3</sup> may each independently be an alkyl group having from 1 to 12 carbon atoms, a cycloalkyl group having from 5 to 9 carbon atoms, an aralkyl group having from 7 to 12 carbon atoms, a hydroxyalkyl group, a compound of the formula (III), (IV) or (V) or H.

35

$$-R^4-X(R^5)_v \qquad (III)$$

15

25

$$-R^{4}-N$$
 $R^{6}$ 
(IV)
-[(EO)<sub>x</sub>-(PO)<sub>y</sub>-(BuO)<sub>z</sub>]-R<sup>1</sup>
(V)

 $R^4$  is a C1- to C4-alkylene group.  $R^5$  is a C<sub>1</sub>- to C<sub>4</sub>-alkyl group. X = S, O or N, and V = 1 when X = S or O, or V = 2 when X = N.  $R^6$  is an alkylene group optionally having heteroatoms and, with the nitrogen atom, forms a 5- to 8-membered ring, especially a 6-membered ring. The substituent  $R^1$  and the indices X, Y and Z are each as already defined for the compound of the formula (I).

10 A preferred hydroxyalkyl group is the -CH<sub>2</sub>CH<sub>2</sub>-OH or -CH<sub>2</sub>CH(OH)CH<sub>3</sub> group.

Suitable monoamine compounds A are, for example, butylamine, hexylamine, octylamine, ammonia, decylamine, diethylamine, dibutylamine, dihexylamine, cyclopentylamine, cyclohexylamine, cycloheptylamine and cyclooctylamine, dicyclohexylamine; 2-phenylethylamine, benzylamine, xylylamine; N,N-dimethylethylenediamine, N, N-diethylethylenediamine, 3,3'-iminobis(N, N-dimethylpropylamine), N,N-dimethyl-1,3-propanediamine, N,Ndiethyl-1,3-propanediamine, N,N,N'-trimethylethylenediamine, 2-methoxyethylamine, 3-methoxypropylamine; isopropanolamine, 2-aminopropanol, ethanolamine, diethanolamine, diisopropanolamine, N-isopropylethanolamine, N-ethylethanolamine, N-butylethanolamine, Nmethylethanolamine, 2-(2-aminoethoxy)ethanol; 1-(2-2-morpholinoethylamine, aminoethyl)piperazine, 3-morpholinopropylamine.

The monoamine compound A is more preferably selected from the group comprising ammonia, morpholine, 2-morpholin-4-ylethylamine, 2-morpholin-4-ylpropylamine, N,N-dimethylaminopropylamine, ethanolamine, diethanolamine, 2-(2-aminoethoxy)ethanol, dicyclohexylamine,

benzylamine, 2-phenylethylamine and mixtures thereof. Ammonia can be used as a gas or in an aqueous solution. Owing to the handling and to operational advantages, ammonia is preferably used as an aqueous solution.

5

The monoamine compound  ${\bf A}$  may also be a monoamine compound  ${\bf A'}$ , although this is not preferred.

For the reaction in the second step, preference is given to using a solvent. Preferred solvents are, for example, hexane, toluene, xylene, methylcyclohexane, cyclohexane or dioxane, and also alcohols, especially ethanol or isopropanol, and water, water being the most preferred solvent.

15

20

25

10

In a preferred embodiment, the second step is effected initially charging the amine in solvent, preferably water, and adding the product from the first reaction step thereto with stirring as a polymer melt or else in solid form, for instance as a powder or in the form of flakes, or of a granule. Preference is given to addition as a polymer melt. In a further preferred embodiment, the second step is effected by adding the mixture or solution of amine and solvent, preferably water, to the polymer melt cooled to below 100°C. This second reaction step can follow the first reaction step directly, in which the product is already present as a melt, or else at a later time.

- 30 When solvent is utilized in the second stage, the solvent can, if desired, be removed again, for example by applying reduced pressure and/or heating, or it can be diluted even further.
- In the second step, in addition to amide formation, amine salts can also form. In order to reduce this amine salt formulation and increase the yield of the amidation, alkali metal hydroxides or alkaline earth

metal hydroxides can preferably be added to the monoamine compound A.

The process according to the invention enables polymers P having amide and ester groups to be obtained, which can be obtained by the typical polymer-analogous process only in poor quality, if at all, since the amines required for the amide groups are too highly volatile or have too low a flashpoint or, in addition to the amine group, also have hydroxyl groups. 10 enables the Moreover, this process content carboxylic acid groups, and hence the ion density in the polymer backbone, to be reduced in a very simple increased thermal stress and hence manner without without risk of polyether cleavage which would lead to 15 undesired crosslinking of the polymers. When attempts are made to reduce the ion density in customary polymer-analogous processes, for example by increasing the ester groups, there is steric hindrance from a certain degree of esterification, which complicates the 20 further reaction or even makes it impossible. Depending on the amount and type of the monoamine compound A, different properties of the end product can be achieved. It is therefore a further advantage of the process according to the invention that, starting from 25 an intermediate, i.e. the reaction product of the first step, it is possible in a simple and cost-efficient manner by using different monoamine compounds different amounts of the monoamine compound A to prepare several different polymers P having amide and 30 ester groups. This has great logistical and financial advantages.

In a preferred embodiment, the polymer **P** having amide and ester groups essentially has the structure of the formula (VI)

a b2 b1 c

M here is a cation, in particular H<sup>+</sup>, Na<sup>+</sup>, Ca<sup>++</sup>/2, Mq<sup>++</sup>/2, NH<sub>4</sub><sup>+</sup> or an organic ammonium. It is clear to the person skilled in the art that, in the case of the polyvalent ions, a further counterion has to be present which may, inter alia, also be a carboxylate of the same molecule or another molecule of the polymer P. The compounds in particular ammonium organic are tetraalkylammonium or else  $HR_3N^{\dagger}$  where R is an alkyl group, especially a C1 to C6-alkyl group, preferably ethyl or butyl. Organic ammonium ions are obtained in particular by neutralizing the carboxyl group with commercial tertiary amines.

The substituents  $R^7$  are each independently an H or methyl. Methyl is preferred as the substituent  $R^7$ .

The substituents  $R^2$  and  $R^3$  have already been described for the monoamine compound  $\mathbf{A}$  of the formula (II). The substituents  $R^2$  and  $R^3$  have already been described for the monoamine compound  $\mathbf{A'}$  of the formula (II'). The substituents  $R^1$ , EO, PO, BuO and the indices  $\mathbf{x}$ ,  $\mathbf{y}$  and  $\mathbf{z}$  have already been described for the monohydroxylic compound  $\mathbf{E}$  of the formula (I).

25

20

10

The indices n, m, m' and p are each integers, where the sum of n+m+m'+p=10-250, preferably 20-100, in particular 25-80, and n>0, m>0 and p>0 and  $m'\geq 0$ .

10

The sequence of the three units a, b1, b2 and c may be blockwise or random, with the exception that, as a result of the anhydride mechanism of amide formation, the unit b2 must be adjacent to or close to, especially adjacent to, a.

The ratio of a:b1:b2:c here is (0.1-0.9):(0-0.06):(0.001-0.4):(0.099-0.899), with the following boundary conditions: that the sum of a + b1 + b2 + c forms the value 1 and that the ratio of b2/a is > 0 and  $\leq 1$ .

In a preferred embodiment, a polymethacrylic acid is esterified with a polyethylene glycol which is concluded at one end with a methoxy group, and then reacted gently with mono- or diethanolamine.

The polymer P having amide and ester groups finds use in various fields, especially in concrete and cement technology. In particular, the polymer P having amide 20 and ester groups can be used as a plasticizer for hydraulically setting compositions, especially concrete and mortar. In this case, the polymer P having amide and ester groups can be admixed to a dry mixture least one hydraulically setting comprising at 25 substance. The hydraulically setting substance may in principle be any substances known to the person skilled in the art of concrete. In particular, they are cements, for example portland cements or alumina melt cements and their respective mixtures with fly ash, 30 fumed silica, slag, slag sands and limestone filler. Further hydraulically setting substances are gypsum, in the form of anhydrite or hemihydrate or fired lime. A preferred hydraulically setting substance is cement. In addition, additives such as sand, gravel, stones, 35 quartz flour, chalks and constituents customary as additives, such as other concrete plasticizers, for example lignosulfonates, sulfonated naphthalene-

10

25

30

35

formaldehyde condensates, sulfonated melamineformaldehyde condensates or polycarboxylate ethers, accelerants, corrosion inhibitors, retardants, shrinkage reducers, defoamers, pore formers are possible.

If the polymer **P** having amide and ester groups is present in anhydrous form, the polymer **P** having amide and ester groups may be a constituent of a hydraulically setting composition, known as a dry mix, which is storable over a prolonged period and is typically packaged in sacks or stored in silos and used.

The polymer P having amide and ester groups can also be added to a customary hydraulically setting composition with or just before or just after the addition of the water. It has been found to be particularly suitable to add the polymer P having amide and ester groups in the form of an aqueous solution or dispersion, especially as mixing water or as part of the mixing water.

The polymer P having amide and ester groups is useful as a plasticizer for hydraulically setting compositions, especially cementious compositions, i.e. the resulting mixture at the water/cement (W/C) ratios customary in cement and concrete technology has a significantly greater flow performance in comparison to composition without the plasticizer. The performance is typically measured via the extent of spreading. On the other hand, mixtures can be achieved which, with the flow performance, require same significantly less water, so that the mechanical properties of the cured hydraulically setting composition are greatly increased. The polymer P having amide and ester groups can also be used as dispersant.

#### Examples

# Example series 1

1st step: Esterification/amidation and anhydride formation

A reaction vessel with stirrer, thermometer, vacuum 5 connection and distillation unit is initially charged 960 g of a 40% aqueous solution of with polymethacrylic acid having a mean molecular weight of 5000 g/mol. With stirring, 10 g of 50% sulfuric acid and 16 q of a copolymer of ethylene oxide and propylene 10 oxide in EO/PO ratio of 70:30 and having a molecular weight Mw of 2000 g/mol, which has a methoxy group at one end and a primary amino group at the other end, are added. 1200 g of a polyethylene glycol capped with a methoxy group at one end and having a mean molecular 15 weight of 1100 g/mol are added as a melt and the reaction mixture is heated to 160°C slowly with stirring. In the course of this, water is distilled off continuously. As soon as the reaction mixture has reached 160°C, the mixture is stirred at 20 this temperature for 30 min and water continues to distilled off. 16 g of 50% NaOH are then added and the temperature is increased to 165°C. Esterification is effected under reduced pressure (80 mbar) for 3 hours. The direct acid number was determined to be 1.04 mmol 25 of COOH/g of polymer. The molten polymer is transferred and stored in an oven at 60°C. Designation: BP1.

Some of the polymer is dissolved in water to prepare a 40% solution which is designated as comparative polymer solution CP1-0.

#### 2nd step: Mild amidation

60 g of an aqueous ammonia solution at approx. 20-25°C having the concentration specified in Table 1 are initially charged in a beaker, and 40 g of the polymer melt **BP1** at a temperature of approx. 60°C are added

with stirring. The mixture is stirred, dissolved and amidated for 2 hours.

	Ammonia solution	Reaction product
Water [g]	Ammonia (25% strength) [g]	
58	2	BP1-2A
56	4	BP1-4A
54	6	BP1-6A
52	. 8	BP1-8A
50	10	BP1-10A
48	12	BP1-12A

Table 1. Inventive examples based on reaction product **BP1** of the first step.

### Example series 2

5

10

15

20

25

# 1st step: Esterification and anhydride formation

A reaction vessel with stirrer, thermometer, vacuum connection and distillation unit is initially charged with 480 g of a 40% aqueous solution of polymethacrylic acid having a mean molecular weight of 5000 g/mol. 5 g of 50% sulfuric acid are added with stirring. 300 g of a polyethylene glycol capped at one end with a methoxy group and having a mean molecular weight of 1100 g/mol and 600 g of a polyethylene glycol capped at one end with a methoxy group and having a mean molecular weight of 3000 g/mol are added as a melt and the reaction mixture is heated to 170°C slowly with stirring. In the course of this, water is distilled off continuously. As soon as the reaction mixture has reached 170°C, it is stirred at this temperature for 30 min. Subsequently, esterification is effected further under reduced pressure (80-100 mbar) for 3.5 hours. The direct acid number at the end of the reaction time is determined to be 0.67 mmol of COOH/g of polymer. The molten polymer is transferred and stored at 60°C. Designation: BP2.

15

20

25

Some of the polymer is dissolved in water to prepare a 40% solution which is designated as comparative polymer solution CP2-0.

# 5 2nd step: Mild amination

#### a) Reaction with ethanolamine

Ethanolamine is mixed with 50 g of water at approx. 20°C. Subsequently, the appropriate amount of the polymer melt BP2 is mixed in and dissolved with stirring. The solution is stirred at room temperature for 24 hours and diluted to a solid content of 40%.

BP2 (melt)	H <sub>2</sub> O [g]	Ethanolamine	Reaction
[g]		[g]	Product
51	50	0.185	BP2-2EA
51.7	50	0.47	BP2-5EA
51.5	50	0.936	BP2-10EA
51.35	50	1.373	BP2-15EA
50.18	50	1.825	BP2-20EA

Table 2. Inventive examples based on reaction product **BP2** of the first step and ethanolamine

# b) Reaction with dicyclohexylamine

Dicyclohexylamine is mixed with 50 g of water at approx. 40°C. Subsequently, the appropriate amount of the polymer melt BP2 is mixed in and dissolved with stirring. The solution is stirred at room temperature for 24 hours and diluted to a solid content of 40%.

BP2 (melt)	H <sub>2</sub> O [g]	Dicyclohexylamine	Reaction
[g]		[g]	Product
50.62	50	0.184	BP2-2DCHA
52.87	50	0.961	BP2-10DCHA

Table 3. Inventive examples based on reaction product **BP2** of the first step and dicyclohexylamine

# c) Reaction with 2-phenylethylamine

2-Phenylethylamine is mixed with 50 g of water at approx. 40°C. Subsequently, this mixture is mixed into the appropriate amount of the polymer melt BP2 which has a temperature of 80°C with stirring. The mixture is stirred for 5 hours and a clear solution is obtained. The solution is diluted to a solid content of 40%.

BP2 (melt)	H <sub>2</sub> O [g]	Phenylethylamine	Reaction
[g]		[g]	Product
51.48	50	0.918	BP2-10PEA

10 Table 4. Inventive example based on reaction product **BP2** of the first step and 2-phenylethylamine

#### Example series 3

# 1st step: Esterification and anhydride formation

A reaction vessel with stirrer, thermometer, vacuum 15 connection and distillation unit is initially charged with 383 g of a 50% aqueous solution of a polyacrylic acid having a mean molecular weight of 4000 and a pH of 3.4. 17 g of 50% sulfuric acid are added with stirring. 600 g of a polyethylene glycol capped at one end with a 20 methoxy group and having a mean molecular weight of 1000 g/mol are added as a melt and the reaction mixture is heated to 170°C slowly with stirring. In the course of this, water is distilled off continuously. As soon as the reaction mixture has reached 170°C, it is 25 stirred at this temperature for 30 min. Subsequently, esterification is effected further under reduced pressure (100-200 mbar) at 175°C for 3 hours. direct acid number at the end of the reaction time was determined to be 1.9 mmol of COOH/g of polymer. The 30 molten polymer is transferred and stored at 60°C. Designation: BP3.

Some of the polymer is dissolved in water to prepare a 40% solution which is designated as comparative polymer solution CP3-0.

#### 5 2nd step: Mild amidation

Ethanolamine in an amount according to Table 5 is mixed with 50 g of water at approx. 20°C. Subsequently, the appropriate amount of polymer melt BP3 is mixed in and dissolved with stirring. The solution is stirred at 40°C for 2 hours.

BP3 (melt)	H <sub>2</sub> O [g]	Ethanolamine [g]	Reaction
[g]			Product
51	50	0.31	BP3-2EA
50	50	0.76	BP3-5EA
54	50	1.64	BP3-10EA
52	50	2.38	BP3-15EA
53	50	3.21	BP3-20EA

Table 5. Inventive examples based on reaction product **BP3** of the first step and ethanolamine

# Comparative example in which the ethanolamine is added in the first reaction step

The reaction is performed analogously to the 1<sup>st</sup> step from example series 2, except that 37 g of ethanolamine are added simultaneously with the addition of the polyethylene glycols capped at one end. During the heating and removal of water by distillation, the reaction mixture becomes inhomogeneous and viscous; the mixture gels at 120°C. The reaction is stopped. A homogeneous solution of the polymer cannot be prepared.

### Comparative examples: salt formation

The polymer melt is dissolved in 70 g of water and left to stand at 60°C for 2 days. Subsequently, an amount of the particular amine according to Table 5 is added.

20

25

10

Polymer	Amount of polymer	H <sub>2</sub> O [g]	Amine	Amount of amine [g]	Reaction product
BP2	30	70	Ethanolamine	0.546	CP2-EA salt
BP2	22	50	Dicyclohexylamine	0.40	CP2-DCH salt
BP2	22	50	2-Phenylethylamine	0.40	CP2-PEA salt
BP3	50	70	Ethanolamine	1.53	CP3-EA salt

Table 6 Comparative examples based on reaction product BP2 or BP3 of the first step.

# Exemplary hydraulically setting compositions

5 The effectiveness of the inventive polymers was tested in mortar.

Mortar 8 mm)	mixture 1: MM1 (max. grain size	Amount
	Cement (Schweizer CEM I 42.5)	750 g
	Limestone filler	141 g
	0-1 mm sand	738 g
	1-4 mm sand	1107 g
	4-8 mm sand	1154 g
Mortar	mixture 1: MM2 (max. grain size	Amount
3 mm)		
	Cement (Schweizer CEM I 42.5)	880 g
	Limestone filler	320 g
	0.08-0.2 mm quartz sand	180 g
	0.1-0.5 mm quartz sand	280 g
	0.3-0.9 mm quartz sand	370 g
	0.7-1.2 mm quartz sand	440 g
	1.5-2.2 mm quartz sand	630 g
	2.0-3.2 mm quartz sand	800 g

Table 7. Composition of the mortar mixtures utilized.

The sands, the filler and the cement were dry-mixed in a Hobart mixer for 1 minute. Within 30 seconds, the mixing water in which the polymer is dissolved is added

and mixing is continued for a further 2.5 minutes. The total mixing time of the wet mixture is 3 minutes.

All polymer solutions were provided with the same amount of a defoamer before the mortar test. 5

#### Test methods and results

#### - Direct acid number

Approx. 1 g of the polymer melt is dissolved in approx. 30 ml of deionized water and admixed with 3 drops of a 10 phenolphthalein solution (1% in ethanol). 0.1N NaOH is used to titrate up to the color change.

Acid number in mmol of COOH/g =  $V/(10 \times m)$ 

V =consumption of 0.1N NaOH in ml and m =weight of the polymer melt in g. 15

### - Flow table spread

The flow table spread of the mortar was determined to EN 1015-3.

20

#### - Air content

The air content of the mortar was determined according to EN 196-1.

#### - End of setting 25

The setting time was determined by means of the temperature evolution in a mortar-filled Styropor vessel of capacity approx. 1 l. The end of setting was defined as the time at which the temperature curve has the maximum value.

#### - Pressure resistance

The pressure resistance of the hardened mortar prisms was determined to EN 196-1.

35

30

#### Results

Table 8 clearly shows the advantage of the inventive polymers over the comparative example. While the processability of the mortar comprising the polymer of the comparative example worsens significantly with time (the flow table spread decreases), that of the mortars comprising the inventive polymers barely decreases within 90 minutes; on the contrary, it even increases with time for some. This can be seen clearly by the low, in some cases even negative value for  $\Delta_{0-90}$ .

Table 9 and 10 likewise show the excellent maintenance of processability over 90 minutes of mortars comprising the inventive polymers, while mortars comprising the comparative polymers lose a significant degree of processability. The 24-hour pressure resistance of mortar prisms which comprise the inventive polymers is the same as that of the mortar prisms comprising the comparative polymers even though the dosage of the comparative polymers is lower. This means that the inventive polymers delay the setting of the mortars to a lesser extent than the comparative polymers.

20

25

30

15

10

These examples show clearly that the inventive polymers have the long processibility of mortar or concrete mixtures required in many applications without having the disadvantage of reduced 24-hour strength often found in such polymers.

Moreover, these mortar results show that amidation takes place in the reaction in the second reaction stage. The properties of the inventive polymers differ with regard to the maintenance of processibility of the significantly from those of the mixtures mortar starting polymers and the amine salts.

			ľ							
		Dosage	٢/ ١٤	Flow	Flow table spread		[mm] after	+	Air content	
	Designation	[% solids in cement]		0 min	30 min	60 min	90 min	70-90 +	%	
Inventive	BP1-8A	0.300	0.42	162	183	161	161	러	4.0	
Inventive	BP1-8A	0.360	0.42	192	189	187	174	18	3.6	
Inventive	BP1-10A	0.360	0.42	176	176	172	175	Н	3.9	
Inventive	BP1-12A	0.360	0.42	157	170	173	175	-18	3.1	
Comparative	CP1-0	0.225	0.42	210	186	176	156	54	2.8	

of mortar mixtures MM1. series Results of example . ω Table

\* Difference in flow table spread at 0 min and 90 min

				Flow table		spread [mm]	after		, F	. 24h
		Dosage	1					4	ALL	pressure
	Designation	[% solids in cement]	υ ×	0 min	30 min	60 min	90 min	Δο-90∓	content [%]	resistance [N/mm²]
Inventive	BP2-10EA	0.300	0.43	201	193	180	168	33	1.8	24.4
Inventive	BP2-10EA	0.360	0.43	226	219	212	210	16	2.2	25.2
Inventive	BP2-15EA	0.360	0.43	202	203	210	201	<b>-</b> -1	3.1	26.3
Inventive	BP2-20EA	0.360	0.43	173	180	184	189	-16	2.8	25.3
Comparative	CP2-0	0.225	0.43	195	161	149	142	53	3.0	24.3
Comparative	CP2-0	0.300	0.42	241	215	189	157	84	1.9	24.9
Comparative	CP2-EA salt	0.252	0.43	251	211	184	163	88	2.0	24.4
Inventive	BP2-10DCHA	0.300	0.42	241	203	200	177	64	3	21.3
Inventive	BP2-10DCHA	0.360	0.42	256	224	216	214	42	1.2	19.5
Inventive	BP2-10PEA	0.300	0.42	240	218	203	202	38	2.2	19.9
Comparative	CP2-0	0.225	0.42	200	155	138	135	65	2.4	20.7
Comparative	CP2-DCHA salt	0.252	0.42	237	182	162	145	92	3.2	21.9
Comparative	CP2-PEA salt	0.252	0.42	224	180	169	147	77	2.2	20.4

of mortar mixtures MM1. 0 Results of example series ა . Table

\* Difference in flow table spread at 0 min and 90 min

		Dosage	,	Flow table		spread [mm]	after	•	Air	24h pressure
	Designation	[% solids in cement]	W/C	0 min	30 min	60 min	90 min	Δ0-90‡	content [%]	resistance [N/mm²]
Inventive	BP3-5EA	0.300	0.42	225	215	201	165	09	1.4	30.3
Inventive	BP3-10EA	0.360	0.42	223	218	209	185	38	1.8	28.3
Inventive	BP3-15EA	0.360	0.42	231	215	210	196	35	1.7	27.4
Inventive	BP3-20EA	0.360	0.42	226	210	208	193	33	1.6	30.2
Comparative	CP3-0	0.225	0.42	205	195	150	132	73	1.8	30.0
Comparative	CP3-0	0.300	0.42	221	201	163	136	85	1.9	27.1
Comparative	CP3-EA salt	0.252	0.42	237	201	155	130	107	2.0	26.4

of mortar mixtures MM1. സ series example Results of 10. Table

\* Difference in flow table spread at 0 min and 90 min

	Designation	Dosage	M/C	Flow t	table spread [mm] after	[mm] pea	Δ0-60‡	Air	End of setting
		[% solids in cement]		0 min	30 min	60 min		o/o	[h]
Inventive	BP1-2A	0.30	0.44	232	229	223	6	5.8	16.8
Inventive	BP1-4A	0.30	0.44	220	212	205	15	5.4	16.0
Inventive	BP1-6A	0.30	0.44	211	209	201	10	5.8	15.5
Inventive	BP1-8A	0.30	0.44	184	184	186	-2	5.6	14.5
Inventive	BP1-10A	0.30	0.44	169	174	175	9-	4.8	14.0
Inventive	BP1-12A	0.30	0.44	165	181	177	-12	5.6	13.5
Comparative	CP1-0	0.30	0.44	224	220	219	5	6.5	16.8

amide groups + Difference in flow table spread at 0 min and 60 min and ester the polymer P having comprising the mortar mixture MM2 and comparative experiment. of Results 11. Table